



# **TLS Specification for Storage Systems**

Version 1.0 rev 2

*ABSTRACT: This document specifies the requirements and guidance for use of the Transport Layer Security (TLS) protocol in conjunction with data storage technologies. The requirements are intended to facilitate secure interoperability of storage clients and servers as well as non-storage technologies that may have similar interoperability needs. This document was developed with the expectation that future versions of SMI-S and CDMI could leverage these requirements to ensure consistency between these standards as well as to more rapidly adjust the security functionality in these standards.*

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***Working Draft***

**September 17, 2013**

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# Revision History

Revision	Date	Sections	Originator:	Comments
Version 0.5 rev 1	March 29, 2013	All	Eric Hibbard	SNIA Public Review Draft (prepared for TC)
Version 0.6 rev 1	August 7, 2013	All	Eric Hibbard	Post SNIA Public Review Draft
Version 0.6 rev 2	August 19, 2013	All	Eric Hibbard	Further cleanup from SNIA Public Review
Version 0.6 rev 3	August 20, 2013	All	Eric Hibbard	Added draft PSK guidance
Version 1.0 rev 1	September 13, 2013	All	Eric Hibbard	Prepared final text
Version 1.0 rev 2	September 17, 2013	All	Eric Hibbard	Fixed an issue with certificate revocation

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## Introduction

2 Within Information and Communications Technology (CT), one of the best defenses against telecommunications  
3 attacks is to deploy security services implemented with mechanisms specified in standards that are thoroughly  
4 vetted in the public domain and rigorously tested by third party laboratories, by vendors, and by users of  
5 commercial off-the-shelf products. Three services that most often address network user security requirements are  
6 confidentiality, message integrity and authentication.

7 The Internet Engineering Task Force (IETF) with its Transport Layer Security (TLS) has a standard that is able to  
8 prevent tampering, message forgery, and eavesdropping by encrypting data units, or segments, from one end of  
9 the transport layer to the other. In addition, TLS is application protocol independent, which means higher-level  
10 protocols like HTTP can layer on top of the TLS protocol transparently.

11 Additional details beyond the basic TLS protocol specification are necessary to ensure both security and  
12 interoperability. This specification provides that detail in the form of specific requirements and guidance for using  
13 Transport Layer Security (TLS) in conjunction with storage systems.

14

# 1 Information technology — Security techniques — TLS 2 specification for storage systems

## 3 1 Scope

4 This specification details the requirements for use of the Transport Layer Security (TLS) protocol in  
5 conjunction with data storage technologies. The requirements set out in this specification are intended to  
6 facilitate secure interoperability of storage clients and servers as well as non-storage technologies that  
7 may have similar interoperability needs.

8 This specification is relevant to anyone involved in owning, operating or using data storage devices. This  
9 includes senior managers, acquirers of storage product and service, and other non-technical managers or  
10 users, in addition to managers and administrators who have specific responsibilities for information  
11 security and/or storage security, storage operation, or who are responsible for an organization's overall  
12 security program and security policy development. It is also relevant to anyone involved in the planning,  
13 design and implementation of the architectural aspects of storage security.

## 14 2 Normative references

15 The following referenced documents are indispensable for the application of this document. For dated  
16 references, only the edition cited applies. For undated references, the latest edition of the referenced  
17 document (including any amendments) applies.

18 ISO/IEC 27000:2012, *Information technology — Security techniques — Information security management*  
19 *systems — Overview and vocabulary*

20 IETF RFC 5280, *Internet X.509 Public Key Infrastructure Certificate and Certificate Revocation List (CRL)*  
21 *Profile*, IETF, May 2008

22 IETF RFC 5246, *The Transport Layer Security (TLS) Protocol Version 1.2*, IETF, August 2008

23 IETF RFC 5746, *Transport Layer Security (TLS) Renegotiation Indication Extension*, IETF, February 2010

## 24 3 Terms and definitions

25 For the purposes of this document, the terms and definitions given in ISO/IEC 27000 and the following  
26 apply.

### 27 3.1 28 cipher suite

29 named combination of authentication, encryption, and message authentication code algorithms used to  
30 negotiate the security settings for a network connection

31 Note 1 to entry: Cipher suites are typically used with the Transport Layer Security (TLS) and the Secure Sockets  
32 Layer (SSL) network protocols.

1 **3.2**  
2 **digital certificate**  
3 data structure signed with a digital signature that is based on a public key and which asserts that the key  
4 belongs to a subject identified in the structure

5 **3.3**  
6 **perfect forward secrecy**  
7 security condition in which a leaving entity cannot obtain any subsequent shared secret keys

8 [SOURCE: ISO/IEC 11770-5:2011, 3.24.]

9 **3.4**  
10 **proxy**  
11 intermediary that acts as both a server and a client for the purpose of making requests on behalf of other  
12 clients

13 **3.5**  
14 **self-signed certificate**  
15 *digital certificate* (3.2) that is signed by the same entity whose identity it certifies

16 Note 1 to entry: A self-signed certificate is one signed with its own private key.

17 **3.6**  
18 **security strength**  
19 number associated with the amount of work that is required to break a cryptographic algorithm or system

20 [SOURCE: ISO/IEC 27040:3rdCD, 3.35.]

## 21 **4 Symbols and abbreviated terms**

22	3DES	Triple Data Encryption Standard
23	AED	Authenticated Encryption with Additional Data
24	AES	Advanced Encryption Standard
25	CA	Certificate Authority
26	CBC	Cipher Block Chaining
27	CDMI	Cloud Data Management Interface
28	CRL	Certificate Revocation List
29	CRLDP	CRL Distribution Point
30	DER	Distinguished Encoding Rules
31	DHE	Ephemeral Diffie-Hellman
32	DSA	Digital Signature Algorithm
33	ECDHE	Elliptic Curve Ephemeral Diffie–Hellman

1	ECDSA	Elliptic Curve Digital Signature Algorithm
2	EDE	Encryption-Decryption-Encryption
3	GCM	Galois/Counter Mode
4	HMAC	Hash Message Authentication Code
5	HTTP	Hypertext Transfer Protocol
6	HTTPS	Hypertext Transfer Protocol Secure
7	ICT	Information and Communications Technology
8	IETF	Internet Engineering Task Force
9	IP	Internet Protocol
10	MAC	Message Authentication Code
11	MD5	Message Digest 5
12	NSA	National Security Agency
13	OCSP	Online Certificate Status Protocol
14	PEM	Privacy Enhanced Mail
15	PKCS	Public-Key Cryptography Standards
16	PKI	Public Key Infrastructure
17	PSK	Pre-Shared Key
18	RFC	Request For Comment
19	RSA	Rivest, Shamir, and Adelman algorithm
20	SHA	Secure Hash Algorithm
21	SMI-S	Storage Management Initiative – Specification
22	SNIA	Storage Networking Industry Association
23	SSL	Secure Socket Layer
24	TCP	Transmission Control Protocol
25	TLS	Transport Layer Security
26		



## 1 **5 Overview and concepts**

### 2 **5.1 General**

3 Data storage systems and infrastructure increasingly use technologies such as protocols over TCP/IP to  
4 manage the systems and data as well as to access the data. In many situations, the historical reliance on  
5 isolated connectivity, specialized technologies, and the physical security of data centers are not sufficient  
6 to protect data, especially when the data is considered sensitive and/or high value. Thus, there is a need  
7 to include security at the transport layer and at the same time, ensure interoperability.

8 The Transport Layer Security (TLS) and its predecessor, the Security Socket Layer (SSL), have been  
9 used successfully to protect a wide range of communications over TCP/IP. Recognizing this fact, the  
10 storage industry has mandated the use of TLS/SSL in conjunction with the Hypertext Transfer Protocol  
11 (HTTP) for multiple specifications (see 5.2). Unfortunately, these storage specifications tend to be lengthy  
12 and complex, resulting in long development cycles that don't allow for rapid requirements changes due to  
13 security vulnerabilities or new attacks.

14 The objectives for this specification are to:

- 15 — Specify the TLS elements necessary to secure storage management and data access
- 16 — Facilitate timely updates and enhancements to the security for the storage specifications
- 17 — Ensure storage clients and systems can interoperate securely
- 18 — Support non-storage technologies that may have similar TLS interoperability needs

### 19 **5.2 Storage specifications**

20 As a starting point, the TLS requirements were extracted from the following specification:

- 21 — ISO/IEC 17826:2012, Information technology — Cloud Data Management Interface (CDMI)
- 22 — Storage Networking Industry Association (SNIA), Storage Management Initiative – Specification  
23 (SMI-S), Version 1.6.1

24 These requirements were then harmonized, eliminating minor differences. The resulting requirements  
25 (see clause 6) have been updated to reflect the current state of TLS and attack mitigation strategies.

### 26 **5.3 Overview of TLS**

#### 27 **5.3.1 TLS background**

28 TLS is a protocol that provides communications security over networks. It allows client/server applications  
29 to communicate in a way that is designed to prevent eavesdropping, tampering, or message forgery. TLS  
30 is layered on top of some reliable transport protocol (e.g., TCP), and it is used for encapsulation of  
31 various higher-level protocols (e.g., HTTP).

32 TLS version 1.2 of the protocol is specified in IETF RFC 5246. Earlier, and less secure, versions of TLS  
33 are also specified and in use; TLS versions 1.0 is specified in IETF RFC 2246 and TLS versions 1.1 is  
34 specified in IETF RFC 4346. The predecessor to TLS, The Secure Sockets Layer (SSL), and in particular,

1 version 3.0 is also in use, but also considered less secure; SSL 3.0 is documented in the historical IETF  
2 RFC 6101, *The Secure Sockets Layer (SSL) Protocol Version 3.0*.

### 3 **5.3.2 TLS functionality**

4 TLS provides endpoint authentication and communications privacy over the network using cryptography.  
5 Typically, only the server is authenticated (i.e., its identity is ensured) while the client remains  
6 unauthenticated; this means that the end user (whether an individual or an application) has a measure of  
7 assurance with whom they are communicating. Mutual authentication (the identities of both endpoints are  
8 verified) requires the deployment of digital certificates or pre-shared keys (PSK) onto the client.

9 TLS involves three basic phases:

- 10 — Peer negotiation for algorithm support
- 11 — Key exchange and authentication
- 12 — Symmetric cipher encryption and message authentication

13 During the first phase, the client and server negotiate cipher suites (see 5.3.3), which determine the  
14 ciphers to be used, the key exchange, authentication algorithms, and the Message Authentication Codes  
15 (MACs). The key exchange and authentication algorithms are typically public key algorithms. The MACs  
16 are made up from a keyed-Hash Message Authentication Code, or HMAC.

### 17 **5.3.3 Summary of cipher suites**

18 Both TLS and SSL 3.0 package one key establishment, confidentiality, signature and hash algorithm into  
19 a "cipher suite." A registered 16-bit (4 hexadecimal digit) number, called the cipher suite index, is  
20 assigned for each defined cipher suite. For example, RSA key agreement, RSA signature, Triple Data  
21 Encryption Standard (3DES) using Encryption-Decryption-Encryption (EDE) and Cipher Block Chaining  
22 (CBC) confidentiality, and the Secure Hash Algorithm (SHA-1) hash are assigned the hexadecimal value  
23 {0x000A} and given a label of TLS\_RSA\_WITH\_3DES\_EBE\_CBC\_SHA for TLS.

24 To ensure a measure of interoperability between clients and servers, each version of TLS specifies a  
25 mandatory cipher suite<sup>1)</sup> that all compliant applications are required to implement. The following are the  
26 mandatory cipher suites associated with the different versions of TLS:

- 27 — **TLS 1.0:** TLS\_DHE\_DSS\_WITH\_3DES\_EDE\_CBC\_SHA {0x00, 0x13}
- 28 — **TLS 1.1:** TLS\_RSA\_WITH\_3DES\_EBE\_CBC\_SHA {0x00, 0x0A}
- 29 — **TLS 1.2:** TLS\_RSA\_WITH\_AES\_128\_CBC\_SHA {0x00, 0x0F}

30 The client always initiates the TLS session and starts cipher suite negotiation by transmitting a handshake  
31 message that lists the cipher suites (by index value) that it will accept. The server responds with a  
32 handshake message indicating which cipher suite it selected from the list or an "abort." Although the client  
33 orders its list of cipher suite by preference, starting with the most preferred, the server may choose any of  
34 the cipher suites proposed by the client. Therefore, there is no guarantee that the negotiation will select  
35 the strongest suite. If no cipher suites are mutually supported, the connection is aborted.

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1) Section 9 - *Mandatory Cipher Suites* of each of the corresponding TLS IETF RFCs is where these mandatory cipher suites are specified. The mandatory cipher suite is required to be implemented and supported in the absence of an application profile.

1 NOTE When the negotiated options, including optional public key certificates and random data for developing  
2 keying material to be used by the cryptographic algorithms, are complete, messages are exchanged to place the  
3 communications channel in a secure mode.

#### 4 **5.3.4 X.509 digital certificates**

5 TLS uses X.509 version 3 public key certificates that are conformant with the *Certificate and Certificate*  
6 *Extension Profile* defined in Section 4 of IETF RFC 5280. This certificate and certificate revocation list  
7 (CRL) profile specifies the mandatory fields included in the certificate as well as optional fields and  
8 extensions that may be included in the certificate. These X.509 certificates use a digital signature to bind  
9 together a public key with an identity. These signatures will often be issued by a certificate authority (CA)  
10 associated with an internal or external public key infrastructure (PKI); however, an alternate approach  
11 uses self-signed certificates (the certificate is digitally signed by the very same key-pair whose public part  
12 appears in the certificate data). The trust models associated with these two approaches are very different.

13 NOTE Self-signed certificates can be used to form a web of trust (trust decisions are in the hands of individual  
14 users/administrators), but is considered less secure as there is no central authority for trust (e.g., no identity  
15 assurance or revocation). This reduction in overall security, which may still offer adequate protections for some  
16 environments, is accompanied by an easing of the overall complexity of implementation.

17 Section 6 of IETF RFC 5280 identifies the need for clients and servers to perform basic path validation,  
18 extension path validation, and Certificate Revocation List (CRL) validation. These validations include, but  
19 are not limited to, the following:

- 20 — The certificate is a validly constructed certificate
- 21 — The signature is correct for the certificate
- 22 — The date of its use is within the validity period (i.e., it has not expired)
- 23 — The certificate has not been revoked (applies only to PKI certificates)
- 24 — The certificate chain is validly constructed (considering the peer certificate plus valid issuer  
25 certificates up to the maximum allowed chain depth (applies only to PKI certificates))

26 X.509 digital certificates come in various formats, but the following are the most commonly used in  
27 conjunction with TLS:

- 28 — DER-encoded X.509. See ISO/IEC 9594-8:2008 for specification and technical corrigenda
- 29 — Base 64-encoded X.509 (often called PEM). See Section 6.8 of IETF RFC 2045

## 30 **6 Requirements**

### 31 **6.1 TLS protocol requirements**

32 Storage systems functioning as servers shall implement the TLS protocol; however, its use by clients is  
33 optional. TLS version 1.2 (specified in IETF RFC 5246) or later shall be implemented. Servers shall not  
34 support SSL (i.e., disable versions 1.0, 2.0<sup>2</sup>, and 3.0).

---

2) IETF RFC 6176 removes TLS 1.2 backward compatibility with SSL such that TLS sessions will never negotiate the use of SSL version 2.0.

1 Storage systems shall guard against renegotiation attacks (as outlined in IETF RFC 5746), using one of  
2 the following approaches:

3 — Option 1: Disable renegotiations<sup>3)</sup>

4 — Option 2: Implement the TLS Renegotiation Indication Extension specified in IETF RFC 5746

## 5 **6.2 Cipher suites**

### 6 **6.2.1 Required cipher suites for interoperability**

7 Storage systems shall not use MD5 or SHA-1 as the default HMAC, which is different than what is  
8 specified in the cipher suite. In addition, storage systems shall support:

9 — selection and use of signature/hash algorithm pairs, using the *supported\_signature\_algorithms*  
10 mechanism in TLS 1.2

11 — use of SHA-256 or greater strength hashes

12 Storage systems shall use cipher suites that have at least 112 bits of security strength. In addition, the  
13 following cipher suites shall be supported by storage systems and clients accessing them:

14 — TLS\_RSA\_WITH\_AES\_128\_CBC\_SHA {0x00, 0x2F}<sup>4)</sup>

15 — TLS\_RSA\_WITH\_AES\_128\_CBC\_SHA256 {0x00, 0x3C}

16 NOTE The use of CBC mode encryption carries the theoretical risks associated with padding oracle attacks<sup>5)</sup>.  
17 Other encryption modes like GCM do not carry these risks.

### 18 **6.2.2 Recommended cipher suites for enhanced security**

19 The following cipher suites should be supported by storage systems and clients accessing them:

20 — TLS\_RSA\_WITH\_AES\_256\_CBC\_SHA256 {0x00, 0x3D}

21 — TLS\_RSA\_WITH\_AES\_128\_GCM\_SHA256 {0x00, 0x9C}<sup>6)</sup>

22 — TLS\_DHE\_RSA\_WITH\_AES\_128\_CBC\_SHA256 {0x00, 0x67}

23 NOTE TLS\_RSA is strictly weaker than ephemeral Diffie-Hellman (TLS\_DHE) key exchange since it does not  
24 provide perfect forward secrecy. Perfect forward secrecy protects past communication sessions even if the long-term  
25 private key is compromised.

---

3) This approach may also prevent the use of client-side certificates in certain scenarios.

4) In the absence of an application profile standard this is the mandatory cipher suite for TLS v1.2.

5) Additional information on padding oracle attacks can be found in the *Practical Padding Oracle Attacks* paper by Juliano Rizzo and Thai Duong.

6) GCM ciphersuites for TLS can be found in IETF RFC 5288.

1 Since the use of digital certificates can add complexity, especially for mutual authentication, an alternate  
2 approach using pre-shared keys is permitted. As such, the following pre-shared key cipher suites should  
3 be supported by storage systems and clients accessing them:

4 — TLS\_PSK\_WITH\_AES\_128\_CBC\_SHA256 {0x00,0xAE}

5 — TLS\_PSK\_WITH\_AES\_256\_CBC\_SHA384 {0x00,0xAF}

6 — TLS\_PSK\_WITH\_AES\_128\_GCM\_SHA256 {0x00,0xA8}

7 — TLS\_PSK\_WITH\_AES\_256\_GCM\_SHA384 {0x00,0xA9}

8 NOTE TLS\_PSK\_WITH\_AES\_128\_GCM\_SHA256 and TLS\_PSK\_WITH\_AES\_256\_GCM\_SHA384 use the  
9 new authenticated encryption with additional data (AEAD) algorithms, AEAD\_AES\_128\_GCM and  
10 AEAD\_AES\_256\_GCM, as described in IETF RFC 5116.

11 In situations where enhanced security is desired or compliance with specialized requirements (e.g., U.S.  
12 Government<sup>7)</sup>) is necessary, the following cipher suites should be supported:

13 — TLS\_ECDHE\_ECDSA\_WITH\_AES\_128\_GCM\_SHA256 {0xC0,0x2B}

14 — TLS\_ECDHE\_ECDSA\_WITH\_AES\_256\_GCM\_SHA384 {0xC0,0x2C}

15 NOTE IETF RFC 6460 states "The TLS\_ECDHE\_ECDSA\_WITH\_AES\_128\_GCM\_SHA256 cipher suite is  
16 preferred; if offered, it MUST appear before the TLS\_ECDHE\_ECDSA\_WITH\_AES\_256\_GCM\_SHA384 cipher  
17 suite." This IETF RFC also identifies other issues that need to be addressed.

## 18 **6.3 Digital certificates**

19 When digital certificates are used by storage systems and clients that access these systems, the  
20 supported certificates shall be X.509 version 3 public key certificates that are conformant with the  
21 *Certificate and Certificate Extension Profile* defined in Section 4 of IETF RFC 5280.

22 Server certificates shall be supported by all storage servers using TLS. Client certificates should be  
23 supported by clients accessing storage systems for management operations and data access.

24 For RSA/DSA server X.509 certificates, key sizes of 2048 bits or greater shall be used.

25 DER encoded X.509, Base64 encoded X.509, and PKCS#12 certificate formats shall be supported.

26 Certificate validation as described in Section 6 of IETF RFC 5280 shall be performed by storage systems  
27 and clients that are presented a digital certificate. In addition, one of the following approaches shall be  
28 used to determine whether a certificate has been revoked:

29 — Option 1: Use Certificate Revocation Lists (CRL)

30 — Supported CRLs shall be in the DER encoded X.509 or the Base64 encoded X.509 formats

31 — Valid CRLs stored locally (distribution out of scope for this standard) or retrieved from an external  
32 source (e.g., a CRL Distribution Point or CRLDP) shall be used

---

7) IETF RFC 6460 identifies cipher suites that comply with the National Security Agency (NSA) Suite B Cryptography profile requirements for TLS.

- 1 — Option 2: Use a certificate-status protocol such as OCSP in one of the following ways:
- 2 — Directly use the Online Certificate Status Protocol (OCSP) as described IETF RFC 6960
- 3 — Indirectly using OCSP through the *Certificate Status Request* extension to TLS described in
- 4 Section 8 of IETF RFC 6066

## 5 **7 Guidance for the implementation and use of TLS in data storage**

### 6 **7.1 Digital certificates**

#### 7 **7.1.1 Certificate model**

8 Digital certificates are used to identify servers (or less commonly clients) and provide cryptographic keys  
9 for use in communication. These certificates can either be public key certificates or self-signed certificates  
10 (as noted in 5.3.4). Public key certificates typically provide more reliable identity assurances but require  
11 prior planning and supporting infrastructure (e.g., certificate authorities). Self-signed certificates are very  
12 easy to deploy but do not provide a reliable identity assurance. Organizations should make a conscious  
13 decision between the two models based on their risk profile and available resources.

#### 14 **7.1.2 Chain of trust**

15 The confidence in the identity assurance provided by a certificate really depends on confidence in the  
16 entity (i.e., certificate authority) issuing the certificate. Often a trusted certificate authority will issue a  
17 certificate to an organization, which will then issue their own certificates (this creates the “chain of trust”).  
18 When using public key certificates, organizations should explicitly identify the certificate authorities that  
19 are allowed to issue certificates for use within the organization. These trusted CAs should be configured  
20 in the client (e.g., web browsers).

#### 21 **7.1.3 Certificate lifecycle**

22 Certificates need to be issued, installed, replaced and ultimately removed/revoked. Effective governance  
23 of this certificate lifecycle is dependent on the organization developing sound policies and procedures. A  
24 commonly overlooked decision is the lifetime of the certificate. Certificates have expiration dates to  
25 specify the maximum time a certificate is valid (much like other forms of identity assurances) and at the  
26 end of their lifetime, they have to be replaced to avoid “certificate expired” errors. When setting the  
27 lifetime, carefully consider the risk, complexity of the certificate request and installation, and the number  
28 of certificates involved. For example, if the certificate/request installation process requires 1.5 person  
29 hours and there are 10,000 certificates in the organization, setting the certificate lifetime to 1 year would  
30 require 8 full-time employees (10,000 x 1.5 divided by 8 hours per workday divided by 230 workdays per  
31 year) just to replace the certificates.

32 Removal of certificates from devices being repurposed or leaving organization control is an essential  
33 measure to protect the organization against unauthorized access.

34 If a certificate is compromised (e.g., its private key is revealed to an unauthorized person) or if the  
35 certificate is no longer needed, the certificate should be revoked (see 7.1.4) to prevent its further use.

#### 36 **7.1.4 Revocation**

37 Certificates need to be invalidated (revoked) when they are no longer useful or they have been  
38 compromised (e.g., the private key associated with the certificate has come into the possession of an

1 unauthorized party). Certificate revocation is simply the process of adding a certificate to the certificate  
2 revocation list (CRL). As described in 6.3, clients and other certificate users are required to check the  
3 validity of a certificate (i.e., in part to verify that it has not been revoked) before making use of a  
4 certificate.

5 The following important certificate revocation issues should be addressed:

6 — The sources of the revocation information should be relevant and trustworthy

7 — The revocation information should be as "fresh" as possible, especially when high value or sensitive  
8 data is involved (e.g., a CRL can have lengthy expiration dates that masks the need to retrieve a  
9 more current version of the CRL)

10 — CRLs, similar to certificate chains, can be large so adequate provisions should be made to store and  
11 process CRLs when they are used for validation

12 — When using OCSP directly, users should understand that there can be privacy issues, which  
13 necessitate additional protections (e.g., using TLS with OCSP)

## 14 **7.2 Security awareness**

15 Users training (e.g., during security awareness training) is essential in working with certificates. For  
16 example, when the organization uses public key certificates, users should be trained to never visit a site  
17 that generates a "certificate warning" (issued by an un-trusted CA, expired, etc.) and to report those  
18 warnings. It should be noted that self-signed certificates will typically generate a warning in a client web  
19 browser and users can ignore this warning if they have other reasons for being confident in the identity of  
20 the site (e.g., establishing a HTTPS session with a storage device by the network address of its  
21 management port).

## 22 **7.3 Cipher suites**

23 As described in 5.3.3, cipher suites are a core element of TLS and they determine much of the  
24 cryptography used in a TLS session. Many different cipher suites are supported within TLS and some are  
25 stronger than others. To avoid diminishing the security within a TLS session, leverage the following cipher  
26 suite related guidance:

27 — Both clients and servers should be configured to require use of the cipher suites identified in 6.2.

28 — Weaker cipher suites (e.g., all SSL v2.0 cipher suites, that use NULL encryption, that use MD5 for  
29 hashing, that use ECB modes of operation, etc.) should be explicitly excluded from clients and  
30 servers

31 — Clients should be configurable to only list cipher suites that meet minimum levels of security strength  
32 (e.g., minimum of 112 bits)

33 — Servers should be configurable to only accept cipher suites that meet minimum levels of security  
34 strength, and to choose the strongest acceptable cipher suite presented by the client

35 — If the client cannot negotiate use of a recommended cipher suite with the server, then the connection  
36 should fail.

37 As noted in clause 6.3, for certificates using RSA/DSA, the key size shall be at least 2048 bits.

## 1 7.4 Using TLS with HTTP

2 A serious risk exists that an adversary might be able to set up a false server or insert an unauthorized  
3 proxy in the communications path in order to capture sensitive information such as authentication  
4 credentials. The most effective countermeasure for this attack is the controlled use of server certificates  
5 with TLS, matched by client controls on certificate acceptance on the assumption that the false server will  
6 be unable to obtain an acceptable certificate. Specifically, this could be accomplished by configuring  
7 clients to always use TLS underneath HTTP authentication.

8 Servers may authenticate to clients through use of server certificates issued by a specifically authorized  
9 certificate authority (or self-signed certificates with other identify assurances such as a known network  
10 address) and matching client controls specifying acceptable certificates. Alternatively, servers may be  
11 authenticated using pre-shared keys (as described in 7.5).

## 12 7.5 Use of pre-shared keys

13 Authentication via a pre-shared key (PSK) implements what is generally known as “source authentication”  
14 – authentication is based on the source of a communication using the correct key. This is a much relaxed  
15 method of authentication when compared with the potentially more robust authentication offered by  
16 public-key or self-signed certificates. As noted in IETF RFC 4279, PSK can be most useful in:

17 — Avoidance of certificate operations – symmetric cryptographic operations are much less CPU-  
18 intensive and thus appropriate for CPU-constrained environments

19 — Simplified key management – for some environments, it may be much more convenient to use a PSK  
20 rather than investing in the infrastructure and processes necessary to support certificates.

21 However the simplicity achieved in avoiding the use of certificates does come at a price. Consider the  
22 case where the key belonging to a server has been compromised: if it’s the private key from a PKI  
23 certificate, all that is required is to revoke the current certificate and issue a new one. If the new certificate  
24 is issued by a trusted CA (or the client clicks through the certificate warnings), the client can continue to  
25 connect to the server without complication. However, if the server was using PSK to authenticate to  
26 clients, it will be necessary to generate a new key for the server and then modify the configuration of each  
27 client to use the new key when authenticating the server.

28 PSK also makes certain kinds of attacks somewhat easier. For example, the certificate environment  
29 makes it somewhat difficult to spoof the identify of an entity as such spoofing requires knowledge of the  
30 private key associated with a given certificate. This has motivated adversarial use of rogue certificate  
31 authorities, middleperson attacks, etc., to work around this difficulty. In the PSK environment, if the  
32 adversary can gain possession of the pre-shared key, they may impersonate the server at will as  
33 authentication is provided solely by possession of the key. This necessity of protecting the PSK from  
34 unauthorized access or disclosure heightens the criticality of sound key management practices.

35 When considering use of PSK, an organization should:

36 — Understand the numbers of keys required and the scope of those keys – for example, when  
37 authenticating a server to clients, it may be acceptable to configure the same key on all clients. With  
38 this large scope for that key, re-keying (due to cryptoperiod management or key compromise) will  
39 require reconfiguring each client. If mutual authentication is necessary, a unique pair of keys (one at  
40 the server and one at the client) will be required for each client. Assure that the key generation  
41 process uses sufficient entropy and makes use of the entire key space.

42 — Plan the key distribution process – with PSK, keys need to be distributed between both clients and  
43 servers and it will be necessary to carefully protect those keys from disclosure during distribution.



- 1 — Protect keys stored on clients – with PSK, clients need to store the key(s) used in authenticating  
2 servers (or if mutual authentication is used, themselves to servers) and clients may maintain a more  
3 relaxed default security posture than a server in a well-managed data center. When using PSK, key  
4 storage may need to become much more robust.
- 5 — Understand the risks associated with reliance on source authentication – when authentication is  
6 achieved by knowledge of key alone, additional compensating controls may be required. For  
7 example, consider the case where a server’s PSK has been compromised: an adversary can set up a  
8 rogue imitation of that server and direct clients to it (perhaps by a DNS-based exploit) and be  
9 indistinguishable from the real server. To compensate for the weakness of source authentication, it  
10 might be necessary in a particular environment to carefully segregate the network environment and  
11 employ strict access controls to limit an adversary’s capability to create a rogue server within the  
12 communications scope of the clients.

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